



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 :  C08J 7/12, A61L 33/00		A1	(11) International Publication Number: <b>WO 91/05817</b>  (43) International Publication Date: 2 May 1991 (02.05.91)
<p>(21) International Application Number: PCT/NO90/00150</p> <p>(22) International Filing Date: 9 October 1990 (09.10.90)</p> <p>(30) Priority data: 420,481 12 October 1989 (12.10.89) US</p> <p>(71) Applicant (<i>for all designated States except US</i>): NORSK HYDRO A.S [NO/NO]; N-0240 Oslo 2 (NO).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (<i>for US only</i>) : LARM, Karl, Olov, Peter [SE/SE]; Nyängsvägen 86, S-161 39 Bromma (SE). ADOLFSSON, Lars, Anders [SE/SE]; Dimgatan 23, S-754 31 Uppsala (SE). OLSSON, Kjell, Petrus [SE/SE]; Husbyborg, Husby, S-755 92 Uppsala (SE).</p>		<p>(74) Agent: DAHL, Elisabeth; Norsk Hydro a.s, N-0240 Oslo 2 (NO).</p> <p>(81) Designated States: AT (European patent), AU, BE (European patent), BG, BR, CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LU (European patent), NL (European patent), NO, RO, SE (European patent), US.</p> <p>Published <i>With international search report.</i></p>	
<p>(54) Title: PROCESS FOR THE PREPARATION OF SURFACE MODIFIED SOLID SUBSTRATES.</p> <p>(57) Abstract</p> <p>Method for surface modifying substrates characterized in adsorbing on the surface of a solid substrate a polyamine of a high average molecular weight and cross-linking this with crotonaldehyde either simultaneously or by addition in separate steps to produce amino groups on the surface of the substrate, and optionally adsorbing one or several alternating layers of an anionic polysaccharide and of the said polyamine being cross-linked with crotonaldehyde, and optionally finally adsorbing the said polyamine, not cross-linked, to produce free primary amino groups by which chemical entities having a biological activity may be bound by covalent or ionic bonding.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	LI	Liechtenstein	SU	Soviet Union
CI	Côte d'Ivoire	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark				

## PROCESS FOR THE PREPARATION OF SURFACE MODIFIED SOLID SUBSTRATES

Present invention concerns a process for the preparation of surface modified substrates and the substrates prepared thereby.

### Technical field

It is known that chemical entities having a biological activity may be bound to the surface of a substrate if functional groups are made available on the substrate surface by surface modification. Such functional groups on the substrate surface, may be charged for a ionic interaction or react covalently with functional groups on the chemical entity.

### Prior art

The preparation of support matrices for immobilized enzymes by adsorption of a polyamine on a core material and contacting the polyamine with a bifunctional reagent to cross-link and stabilize the polyamine, thus providing a surface with functional groups to which enzymes may be bound covalently has been described a.o. in US Patent 4,565,740 (Rohrbach et al). The cross-linking agent used in this case is chosen from the group consisting of dialdehydes and diisocyanates.

In US Patent 4,229,838 (Mano et al) a vascular prothesis having antithrombogenic properties is prepared by adsorbing a special polyamine, polyethylene imine to its porous surface, cross-linking this with a bifunctional agent such as a dialdehyde, and quaternising the remaining amino groups to achieve a positively charged surface on which negatively charged heparin may be adsorbed.

US Patent 4,565,740 (Gölander and Larsson) describes surface modified substrates prepared by adsorbing a complex of a polymeric cationic surfactant, carrying primary as well as secondary and/or tertiary amino nitrogens, preferably polyethylene imine or polyamides, and a dialdehyde, and the dialdehyde having 1-4 carbon atoms between the aldehyde groups, to the surface and eventually adsorbing several additional layers of polymeric anionic surfactants by means of intermediate layers of the complex. To a thus modified surface, a biologically active compound may be adsorbed by ionic binding or bound by covalent binding.

A surface modification should result in a high density of reactive functional groups. Further the reaction should be reproducible and easy to perform under normal reaction conditions, and the modified surface should be stable in the sense that no leakage from the layers of the surface modification should occur.

In practice it has been difficult to prepare surface modified substrates meeting all these requirements in a satisfactory degree with the method according to the last mentioned prior art.

#### **Summary of the invention**

It has now been found that a surprisingly better surface modification may be achieved by adsorbing a layer of a polyamine having a high average molecular weight to the surface.

The polyamine is stabilised by cross-linking with crotonaldehyde, which is a mono-aldehyde having a C-C double bond in conjugation with the aldehyde function. Thereafter one or more alternating layers of an anionic polysaccharide and the cross-linked polyamine, followed by a final layer of the said polyamine, not cross-linked, may be adsorbed onto the first layer of cross-linked polyamine, whereby a surface modification carrying free primary amino groups is achieved.

#### Detailed description of the invention

According to the method of present invention the substrate is brought in contact with an aqueous solution of the polyamine at pH 8-10, especially pH 9. The concentration of the initial polyamine solution will range from 1-10% by weight, especially be 5% by weight, 1 ml of which may be diluted to a final volume of 500-2000 ml, especially 1000 ml. This final solution may also comprise from 100-1000  $\mu$ l, especially 340  $\mu$ l crotonaldehyde. Alternatively the substrate will be treated first with a solution of polyamine of said concentration and pH, and then with a solution of the crotonaldehyde of the said concentration and pH. The temperature is not critical, so it is preferred for the treatment to be at room temperature.

After rinsing with water, the substrate is treated with a solution of an anionic polysaccharide, containing from 10 mg-500 mg, preferably 100 mg of the polysaccharide in a volume of 1000 ml. This step is executed at a temperature in the range of 40-70°C, preferably 55°C and pH 1-5, preferably pH 3.

After another rinsing with water, these first steps may be repeated one or several times and finally, after having adsorbed a layer of polysaccharide, the substrate may be treated with a polyamine solution having a concentration 1-20

times, preferably 10 times that mentioned above, at the said temperature and pH.

The polyamine will preferably be a polymeric alifatic amine, especially polyethylene imine having a high average molecular weight, but any polyamine having a high average molecular weight and carrying free primary amino groups may be used.

The anionic polysaccharide will preferably be a sulfated polysaccharide.

The aminated surface may optionally be further stabilized by reduction with a suitable reducing agent such as sodium cyanoborohydride.

The modified surface according to present invention has free primary amino groups by which chemical entities may be bound either ionically or covalently.

Especially aldehyde containing chemical entities may be bound by formation of Schiff's bases, eventually followed by a stabilization reaction such as a reduction to convert the Schiff's bases to secondary amines.

According to present invention the following advantages are achieved:

- A higher density of reactive amino functions resulting in a higher biological activity, when biologically active material is attached.
- A significantly lower concentration of cross-linking agent
- A very stable final surface

The increased biological activity will appear from the two comparative examples showing the density of binding sites as will appear as the degree of heparinization achieved after the surface modification according to present method and according to the prior art as represented by the working example of US Patent 4,565,740 (Gölander and Larsson):

- a) comparing the results achieved when working with, according to present invention, low concentrations of cross-linking agent; and
- b) comparing the results achieved by these two methods when working with the higher concentrations according to the prior art of crosslinking agent:

**Comparative example a)**

In these examples tubings of polyethylene (PE) were first etched with potassium permanganate in conc. sulfuric acid, whereafter the tubings were contacted with the following solutions:

- 1a) 1 ml 5% Polymin SN\* and 340 µl crotonaldehyde in 1 l 0.17M borate buffer of pH 9
- 2a) 1 ml 5% Polymin SN\* and 1,54 ml of a solution containing 25% by weight of glutaraldehyde in 1 l 0.17M borate buffer of pH 9
- 3) 0.1 g dextran sulphate\* in 1 l of a 0.15M sodium chloride solution, pH 3 at 55°C
- 4) 10 ml 5% Polymin SN\* in 1 l water, pH 9
- 5) 0.25g heparin degraded with nitrous acid (as described in US Patent 4,613,665, (Larm)) and 0.025g sodium

cyanoborohydride in 1l 0.15M sodium chloride  
solution of pH 3.9 at 55°C

6) 0.17M Borate buffer of pH 9

Polymin SN\* is a polyethylene imine commercially available from BASF. The dextran sulphate\* used is commercially available from Pharmacia.

The PE-tubings were treated with the different solutions in the following order and rinsed with water between each step:  
According to present invention: 1a; 3, 1a, 3, 4, 5 and 6.  
According to the prior art: 2a, 3, 2a, 3, 4, 5 and 6.  
The tubings were then rinsed with a solution of albumin for 24 hour and the following results were achieved for the heparin activity:

UPTAKE OF ANTITHROMBIN MEASURED AS THROMBIN INHIBITION IU/cm <sup>2</sup>		
<u>Cross-linking agent</u>	<u>before rinse</u>	<u>after albumin rinse</u>
crotonaldehyde	27.1	20.5
glutaraldehyde	5.0	5.0

This shows clearly that a dramatically enhanced heparin activity is achieved by using the method according to present invention with the cross-linking agent according to present invention compared to the cross-linking agent of the prior art when working at the lower concentration according to present invention of the cross-linking agent.

**Comparative example b)**

The tubings were treated as in example a) except that the solutions 1a and 2a were replaced by 1b and 2b respectively.

- 1b) 1ml 5% Polymen SN\* and 4,12ml crotonaldehyde in 1l 0.17M borate buffer of pH 9
- 2b) 1ml 5% Polymen SN\* and 20ml glutaraldehyde (25%-solution) in 1l 0.17M borate buffer of pH 9

This gave the following results on the heparin activity:

UPTAKE OF ANTITHROMBIN MEASURED AS THROMBIN INHIBITION IU/cm <sup>2</sup>		
<u>Cross-linking agent</u>	<u>before rinse</u>	<u>after albumin rinse</u>
crotonaldehyde	9.2	7.5
glutaraldehyde	4.7	4.2

These results indicate that a surprisingly better activity is also achieved when using the cross-linking agent of present invention at the concentrations of the method of the prior art.

Further, as appears when comparing example a) with example b), it is the combination of the specific cross-linking agent of present invention, namely crotonaldehyde, and the tenfold lower concentration according to the method of present invention that gives the surprisingly higher activity when a biologically active substance is attached to the surface modified according to present invention.

To a surface treated according to present invention, chemical entities carrying functional groups that may react with amino groups without loss of biological activity may be bound. An example of such entities is heparin fragments having aldehyde groups, which are produced by degrading heparin with nitrous acid as described in US Patent 4,613,665 (Larm) for the preparation of heparinized surfaces.

Of course other chemical entities than heparin, having different biological activities and carrying groups that react with amino groups or having been activated to react amino groups, may be bound to the surface by the surface modification according to present invention.

Optionally the free primary amino groups on the surface which are achieved by the final layer of polyamine may be quarter-nised so that a negatively charged, biologically active compound be ionically bonded.

As described in the prior art as represented by US Patent 4,565,740, the substrate may be of any material, such as metal especially stainless steel, aluminium, glass or polymeric materials, which are commonly used for the production of medical articles, especially the ones intended for blood contact. Examples of such articles are tubes, catheters, oxygenators, filters, intra vascular probes, blood pumps or the like.

Claims

1. Method for surface modifying substrates characterized in adsorbing on the surface of a solid substrate a polyamin of a high average molecular weight and cross-linking this with crotonaldehyde either simultaneously or by addition in separate steps to produce amino groups on the surface of the substrate, and optionally adsorbing one or several alternating layers of an anionic polysaccharide and of the said polyamine being cross-linked with crotonaldehyde, and optionally finally adsorbing the said polyamine, not cross-linked, to produce free primary amino groups.
2. Method according to claim 1 wherein all amino groups are quarternized for a ionic bond.
3. Method according to claim 1 wherein the substrate is a metal, glass or a polymeric material.
4. Method according to claim 2 wherein the substrate is stainless steel or aluminium.
5. Method according to claim 2, wherein the substrate is selected from polyvinylchloride, polyurethan, silicon rubber, polytetrafluoroethylen, polystyrene and polyolefins.
6. Method according to claim 1 wherein the polysaccharide is dextran sulfate.
7. Method according to claim 1 wherein the polyamine is polyethylenimin.